



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kaushal et al. Application No: 09/872,254 Confirmation No: 4744 Filed: 6/1/2001 Title: HEATED CATALYTIC TREATMENT OF AN EFFLUENT GAS FROM A SUBSTRATE FABRICATION PROCESS	Group Art Unit: 1764 Examiner: Unknown Attorney Docket No: 004086 USA USA/CPES/EPS/BG April 1, 2003 San Francisco, California
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

Attached hereto is a PTO-1449 form listing documents believed relevant to the subject application. It is respectfully requested that these documents be considered by the Examiner and an initialed copy of the form be returned to the undersigned.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to:

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

By: Anne Collette  
Anne Collette

Date of Deposit: April 1, 2003

This information disclosure statement should be considered by the Office because:

☒ (a) It is being filed by the applicant within any one of the following time periods:

- ☐ Within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
- ☐ Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
- ☒ Before the mailing of a first Office action on the merits; or
- ☐ Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.

☐ (b) It is being filed after the period specified in section (a) above, and it is filed before the mailing date of any of:

☐ A final action under §1.113, and:

- ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- ☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☐ This information disclosure statement is accompanied by the fee set forth in §1.17(p)

☐ A notice of allowance under §1.311, and:

- ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- ☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☐ This information disclosure statement is accompanied by the fee set forth in §1.17(p)

☐ An action that otherwise closes prosecution in the application, and:

- ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- ☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☐ This information disclosure statement is accompanied by the fee set forth in §1.17(p)

☐ (c) It is being filed after the period specified in section (b) above, and the information disclosure statement is being filed on or before payment of the issue fee and is accompanied by the fee set forth in §1.17(p) and:

- ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- ☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

It is believed that no fee is due for the filing of this Supplemental Information Disclosure Statement. However, if any fee is due, the Commissioner is hereby authorized to charge payment of any such fees to Deposit Account No. 10-0258.

Also, if any Petition is required for the filing of this Information Disclosure Statement, such Petition is requested herein.

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures § 707.05(b). If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

It should be noted that the word "prior" has been deleted from the PTO-1449 form.

A copy of each document is enclosed.

Some of the documents may have markings thereon. No significance is meant to be attached to the markings.


With regard to any translations which may be provided herewith, the undersigned does not know how the translations were made, nor by whom the translations were made. Therefore, no representation is being made as to the accuracy of any translation.

These documents are not necessarily analogous.

Respectfully submitted,  
JANAH & ASSOCIATES, P.C.

Date: April 1, 2003

By:



Reece Nienstadt  
Reg. No. 52,072

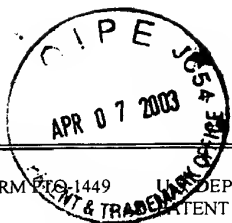
Please direct all telephone calls to:

Ashok K. Janah  
(415)538-1555

Please continue to send all correspondence to:

Applied Materials, Inc.  
Patent Department, M/S 2061  
P.O. Box 450A  
Santa Clara, California 95052

Encl.



1764 #  
SHEET 1 OF 1

FORM PTO-1449 DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b> (Use several sheets if necessary)		DOCKET NO. 004086 USA/CPES/EPS/BG				APPLICATION NO.: 09/872,254							
		APPLICANT: Kaushal et al.											
		FILING DATE: 6/1/2001				GROUP ART UNIT: 1764							
<b>U.S. PATENT DOCUMENTS</b>													
EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6	4	2	6	4	4	3	7/30/2002	Rossin et al.				
	5	9	7	7	4	2	7	11/2/1999	Tamata et al.				
	6	5	0	9	5	1	1	1/21/2003	Rossin et al.				
	6	0	6	9	2	9	1	5/30/2000	Rossin et al.				
	5	5	9	7	5	4	0	1/28/1997	Akita et al.				
	5	9	6	5	7	8	6	10/12/1999	Rostaing et al.				
	6	1	9	0	5	0	7	2/20/2001	Whealton et al.				
	6	0	3	0	5	9	1	2/29/2000	Tom et al.				
	6	1	5	3	1	5	0	11/28/2000	Moore et al.				
<b>FOREIGN PATENT DOCUMENTS</b>													
	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	11	3	1	9	4	8	5	11/24/1999	JP			YES	NO
	11	0	7	0	3	2	2	3/16/1999	JP			x (abstract)	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>													
	U.S. Patent Application Publication No. 2002/0150527, published 10/17/2002												
	U.S. Patent Application Publication No. 2001/0031228, published 10/18/2001												
EXAMINER										DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													